

**ATTACHMENT 5**

Section 26.45: Overall DBE Three-Year Goal Methodology

**Name of Recipient:** Orofino Municipal Airport (S68)

**Goal Period:** FY-2019-2020-2021 – April 1, 2018 through September 30, 2020

<b>DOT-assisted contract amount:</b>	FY-2018	\$ <u>0.00</u>
	FY-2019	\$ <u>340,000.00</u>
	FY-2020	\$ <u>0.00</u>
	<b>Total</b>	<b>\$ <u>340,000.00</u></b>

**Overall Three-Year Goal:** 1.79%, to be accomplished through 0% RC and 1.79% RN

**Total dollar amount to be expended on DBE's:** \$6,086.00 [multiply goal % x DOT-assisted amount]

**Describe the Number and Type of Contracts that the airport anticipates awarding:**

Contracts Fiscal Year #1 - 2018

1. No Projects - \$0

Contracts Fiscal Year #2 - 2019

1. Runway/Apron/Taxiway Pavement Rehabilitation, Obstruction Removal, and Runway Safety Area Improvements Construction - \$340,000

Contracts Fiscal Year #3 - 2020

1. No Projects - \$0

**Market Area:** State of Idaho

**Step 1. 26.45(c)** Actual relative availability of DBE's

The base figure for the relative availability was calculated as follows:

**For each Contract and each fiscal year, for Orofino Airport**

<b>NAICS</b>	<b>Type of Work</b>	<b>Total DBE's</b>	<b>Total All Firms</b>
238910	Excavation	11	829
237310	Paving/Seal	10	95
561990	Signs/Pvmt Marking	12	83
541330	Civil Engineering	9	1329
Total		42	2336

Divide the total number of DBE's by the total number of All Firms = base figure for each contract.

Base figure = .01797 of all firms ready, willing and able. (weighted figure)

The data source or demonstrable evidence used to derive the numerator was: State of Idaho DBE Directory 3-2019.

<https://itd.dbesystem.com/>

The data source or demonstrable evidence used to derive the denominator was: Census Bureau Data 7-2018 and Idaho Contractor Business License Directory 3-2019.

<https://www.census.gov/quickfacts/fact/table/ID#viewtop>

<https://secure.ibol.idaho.gov/eIBOLPublic/LPRABrowser.aspx>

**Step 2. 26.45(d):** Adjustments to Step 1 base figure.

After calculating a base figure of the relative availability of DBEs, evidence was examined to determine what adjustment (if any) was needed to the base figure in order to arrive at the overall goal.

An examination of the anticipated contracts for each fiscal year, the availability of the DBE firms by trade classification and the volume of work performed by DBE firms over previous years.

There were no adjustments to the base figure.

*Fiscal Year #1*

*For FY-2018, we anticipate no awards (it will be a carryover year)*

*Fiscal Year #2*

*For FY-2019, we anticipate the award of the following:*

<i>Contract Name</i>	<i>Trade Description</i>	<i>NAICS Description</i>	<i>NAICS</i>	<i>Trade (\$)</i>	<i>Census</i>	<i>Directory</i>	<i>DBE (%) (= G/F)</i>	<i>DBE (\$) (= E x H)</i>
<i>Contract #1 - Runway/Apron/T axiway Rehabilitation, Obstruction Removal, and Runway Safety Area Improvements</i>	<i>Demolition, Excavation, Earthwork</i>	<i>Site Preparation</i>	<i>238910</i>	<i>100,000</i>	<i>829</i>	<i>11</i>	<i>0.01%</i>	<i>\$10</i>
	<i>Asphalt, Concrete, Dust Control</i>	<i>Highway &amp; Street Construction</i>	<i>237310</i>	<i>180,000</i>	<i>95</i>	<i>10</i>	<i>9.5%</i>	<i>\$17,100</i>
	<i>Signage/Pavement Marking</i>	<i>Runway Signs/Traffic Control</i>	<i>561990</i>	<i>20,000</i>	<i>83</i>	<i>12</i>	<i>6.82%</i>	<i>\$1,364</i>
	<i>Civil Engineering</i>	<i>Civil Engineering</i>	<i>541330</i>	<i>40000</i>	<i>1329</i>	<i>9</i>	<i>.0067</i>	<i>\$335</i>
<b>Total Contract</b>								
<b>Total FY-2019</b>				<b>340,000</b>	<b>2336</b>	<b>42</b>	<b>.01797% rounded to 0.02% (42/2336)</b>	<b>\$6,800 (0.02% of 340,000)</b>

*Fiscal Year #3*

*For FY-2020, we anticipate no awards (it will be a carryover year)*

**Past History Participation (NOT USED)**

*Other data used to determine the adjustment to the base figure was the median of historical DBE accomplishments (we recommend you use a minimum of three to five years of data, if all the participation was attained via race conscious measures, there's no need to show the split but you must specify this in your narrative) as follows:*

FY	Total Grant \$ Amount	DBE Goals			Accomplishments			Type of work
		RC	RN	Total	RC	RN	Total	
FY 14		NA	.67%	.67%	NA	0%	0%	No projects over \$250k
FY 15		NA	0%	0%	NA	0%	0%	No projects over \$250k
FY 16		NA	0%	0%	NA	0%	0%	No projects over \$250k
FY 17		NA	0%	0%	NA	0%	0%	No projects over \$250k
FY 18		NA	0%	0%	NA	0%	0%	No projects over \$250k

Our proposed overall three year goal will be reflected as Option 3 – Weighted Percentage.

- *Weighted \$440,000 / \$7,876= 1.79%*

There is minimal historical DBE data to reference to make an adjustment to the Step 1 base figure; therefore, the Airport Authority is adopting its Step 1 base figure as its overall goal for this three-year goal period.

Further, there are no applicable disparity studies for the local market area or recent legal case information available from the State of Idaho Department of Transportation (ITD) office to show any evidence of barriers to entry or competitiveness of DBEs in all Idaho counties.

**Breakout of Estimated “Race and Gender Neutral” (RN) and “Race and Gender Conscious” (RC) Participation. 26.51(b) (1-9)**

The City of Orofino and Orofino Municipal Airport will meet the

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation;
2. Providing assistance in overcoming limitations such as inability to obtain bonding or financing;
3. Providing technical assistance and other services;
4. Carrying out information and communications programs on contracting procedures and specific contract opportunities;
5. Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBE’s and other small businesses;
6. Providing services to help DBE’s and other small businesses improve long-term development, increase opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency;
7. Establishing a program to assist new, start-up firms, particularly in fields in which DBE participation has historically been low;
8. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors;
9. Assist DBE’s and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media

The recipient estimates that in meeting its overall goal 1.79%, it will obtain 1.79% from RN participation and 0% through RC measures.

This breakout is based on Orofino not having a history of DBE participation or over-achievement of goals to reference and expects to obtain its DBE participation through the use of DBE contract goals or a conscious effort to obtain DBE participation. Therefore, we are applying the entire goal of 1.79% to race-neutral participation.

The City of Orofino will adjust the estimated breakout of RN and RC DBE participation as needed to reflect actual DBE participation (see Section 26.51(f)) and track and report RN and RC participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to, the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal and DBE participation through a subcontract from a prime contractor that did not consider a firm’s DBE status in making the award.

## PUBLIC PARTICIPATION

**Consultation:** Section 26.45(g)(1).

In establishing the overall goal, the City of Orofino provided for consultation and publication. This included consultation with minority, women's and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the City of Orofino's efforts to establish a level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange (teleconference) with as many interested stakeholders as possible focused on obtaining information relevant to the City of Orofino's goal setting process, and it occurred (April 2, 2019), before we were required to submit our goal methodology to the operating administration for review pursuant to paragraph (f) of this section.

Notwithstanding paragraph (f)(4) of this section, we will not implement our proposed goal until we have complied with this requirement.

The City of Orofino submits its overall DBE three-year goal to DOT on August 1 as required by the set schedule, however the construction projects planned for 2019 had change orders/amendments that made the total over \$250,000 so a goal was needed now.

Before establishing the overall goal, the City of Orofino consulted with State of Idaho Small Business Development, State of Idaho Contractors Association, and Idaho Bureau of Occupational Licenses Department (IBOL) without limiting consultation to these persons or groups, to obtain information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the City of Orofino efforts to establish a level playing field for the participation of DBEs

Following the consultation, we published a notice in the Clearwater Tribune of the proposed overall goal, informing the public that the proposed goal and its rationale are available for inspection during normal business hours at the airport administration building for 30 days following the date of the notice, and informing the public that the City of Orofino and DOT will accept comments on the goals for 30 days from the date of the notice.

No comments were received via the teleconference on April 2, 2019 nor the 30-day comment period, April 3, 2019 to May 3, 2019 per Rick Laam, Airport Manager.

## PUBLIC NOTICE

The City of Orofino hereby announces its fiscal years 2019 through 2021 goal of 1.79% for Disadvantaged Business Enterprise (DBE) airport construction Contracts. The proposed goals and rationale is available for inspection between 8:00 a.m. and 5:00 p.m., Monday through Friday at the City of Orofino office, 217 1<sup>st</sup> Street, Orofino, ID 83544 for 30 days from the date of this publication (4/3/19).

Comments on the DBE goal will be accepted for 30 days from the date of this publication and can be sent to the following:

Rick Laam, City Administrator  
PO Box 312  
217 1st Street  
Orofino, Idaho 83544  
(208) 476-4725  
rlaam@orofino-id.com

AND

Federal Aviation Administration  
Office of Civil Rights Staff  
Sonia Cruz  
Compliance Specialist  
Office of Civil Rights – ACR-4  
FAA Los Angeles ADO  
15000 Aviation Blvd  
Lawndale, CA 90261

### **Contract Goals**

The City of Orofino will use contract goals to meet any portion of the overall goal that the recipient does not project being able to meet using RN means. Contract goals are established so that, over the period to which the overall goal applies, they will cumulatively result in meeting any portion of the recipient's overall goal that is not projected to be met through the use of RN means.

The City of Orofino will establish contract goals only on those DOT-assisted contracts that have subcontracting possibilities. It need not establish a contract goal on every such contract, and the size of the contract goals will be adapted to the circumstances of each such contract (e.g., type and location of work and availability of DBE's to perform the particular type of work).

We will express our contract goals as a percentage of the Federal share of a DOT-assisted contract.